

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Keith Johnson

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Quantum Energy Technologies

Internal Address:

Street Address: 10-K Gill Street

City: Woburn State: MA ZIP: 01801

JC920 U.S. PTO
09/662195



3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: August 29, 2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is September 12, 2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Brenda Herschbach Jarrell

Internal Address: Choate, Hall & Stewart

Street Address: Exchange Place
53 State Street

City: Boston State: MA ZIP: 02109

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41) \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 03-1721

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Brenda Herschbach Jarrell

Name of Person Signing

Reg. No. 39,223

Total number of pages including cover sheet, attachments, and document: 6

Signature

Date

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on 9-14-00

Lauren Melson

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned **Keith Johnson** of Cambridge, MA; hereby

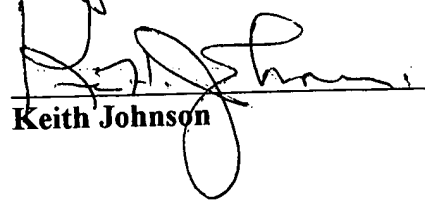
Sell, assign and transfer to **Quantum Energy Technologies** having a place of business at **10-K Gill Street, Woburn, MA**, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed _____, Serial No. _____ entitled "**APPLICATIONS OF WATER NANOCCLUSERS TO SKIN**" and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said ASSIGNEE, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said ASSIGNEE all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenant with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us, and that full right to convey the same as herein expressed is possessed by us.

IN WITNESS WHEREOF, I hereto set my hand and seal at Woburn, MA
this 30th day of August, 2000.


Keith Johnson

STATE OF MASSACHUSETTS
COUNTY OF MIDDLESEX SS.

Before me this 30TH day of AUGUST, 2000, personally appeared
Keith Johnson known to me to be the person whose name of subscribed to the foregoing
Assignment and acknowledged that he executed the same as his free act and deed for the
purposes therein contained.



NOTARY PUBLIC
My Commission Expires:

[Notary's Seal Here]

3156769_1.DOC

EUGENE BERMAN
Notary Public
My Commission Expires
March 24, 2006

STATEMENT

I, person authorized to sign on behalf of the assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title vests in the assignee who seeks to take further action.

Eugene Berner

8/29/00

Name: _____

Date _____

Title: JCE PRESIDENT

3142848_1.DOC

[A vertical strip of musical notation from another page, showing staves and notes.]

ATTORNEY DOCKET NO.: 2000200-0003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Johnson, et al.
Serial No.:
Filed:
For: APPLICATION OF WATER NANOCCLUSERS TO SKIN

Commissioner for Patents
Washington, DC 20231

Sir:

ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION
(37 CFR 3.73(b))

The assignee of the entire right, title and interest, **Quantum Energy Technologies Corporation** hereby seeks to take action in the Patent and Trademark Office in the above matter.

Ownership by the assignees is established as follows:

- ☐ documents already recorded in the PTO on _____
Reel _____ Frame _____; and
- ☒ documents separately submitted for recordal to the PTO (a copy of these documents is attached).

DECLARATION BY THE INVENTOR

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

APPLICATION OF WATER NANOCCLUSERS TO SKIN

the specification of which (I authorize Choate, Hall & Stewart to check one of the following, three choices, and fill in the blanks, if applicable):

 X is attached hereto

 was filed on as Application Serial No. and amended on (if applicable).

 was filed as PCT international application No. , on and was amended under PCT Article 19 on (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed

<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year/Filed)	<u> </u> Yes	<u> </u> No
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<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year/Filed)	<u> </u> Yes	<u> </u> No
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00462195-091400

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.) (filing date) (status-patented, pending, abandoned)

(Application Serial No.) (filing date) (status-patented, pending, abandoned)

PCT Applications designating the United States:

(PCT Appl. No.) (U.S.S.N.) (status-patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national filing date of this application.

Provisional Application(s):

Application Number Filing Date Status

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United State Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full name of first inventor Keith H. Johnson

Inventor's signature: *Keith H. Johnson* Date: 8/28/00

Residence: 239 Mount Auburn Street, Cambridge, MA 02138

Citizenship: U.S.A.

Post Office Address: same

3142849_1.DOC

004T60-56129960

ATTORNEY'S DOCKET NO.: 2000200-0003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Johnson, et al.

Serial No.:

Filed:

For: APPLICATION OF WATER NANOCCLUSERS TO SKINS

Commissioner for Patents

Washington, D.C. 20231

Sir:

APPOINTMENT OF ATTORNEY

The undersigned hereby appoints Brenda Herschbach Jarrell, Registration No. 39,223; Sam Pasternack, Registration No. 29,576; Kevin M. Tormey, Registration No. 41,351; Karoline Shair, Registration No. 44,332; Elizabeth Nugent, Registration No. 43,839; Anne-Marie Dinius, Registration No. 45,592; Valarie B. Rosen, Registration No. 45,698; Stanley C. Mah, Registration No. 46,189; C. Hunter Baker, Registration No. P-46,533 and Monica R. Gerber, Registration No. P-46,724 as its attorneys and agents for prosecution of matters relating to the above-identified trademark application and to conduct all business in the United States Patent and Trademark Office.

All correspondence should be sent to Brenda Herschbach Jarrell, Choate, Hall & Stewart, Exchange Place, 53 State Street, Boston, Massachusetts 02109.

Respectfully submitted,

Name: Eugen Berme

Title: VICE PRESIDENT

On behalf of: QUANTUM ENERGY TECHNOLOGIES

Dated: 8/29/00, 2000